



Patent Docket No. P1219P3C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  Sean Adams, et al.  Serial No.: 10/712,560  Filed: November 12, 2003  For: <b>FIBROBLAST GROWTH FACTOR-19 (FGF-19) NUCLEIC ACIDS AND POLYPEPTIDES AND METHODS OF USE FOR THE TREATMENT OF OBESITY AND RELATED DISORDERS</b>	Group Art Unit: 1647  Examiner: Not yet assigned  Confirmation No: 8810  <b>CUSTOMER NO: 09157</b>  <b>CERTIFICATE OF MAILING</b> I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on  Date: <u>1-24-05</u>  By: <u>Pam Golden</u> Pam Golden
---	---

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information (attached hereto and listed on the attached revised Form PTO-1449) of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56.

This Information Disclosure Statement is filed in accordance with the provisions of:

- ☒ **37 CFR §1.97(b)** within three months of the filing date of the application other than a continued prosecution application under 37 CFR §1.53(d); or within three months of the date of entry of the national stage of a PCT application as set forth in 37 CFR §1.491, or before the mailing of the first Office action on the merits; or before the mailing of the first Office action after the filing of a request for a continued examination under 37 CFR §1.114.
- ☐ **37 CFR §1.97(c)** by the applicant after the period specified in 37 CFR §1.97(b), but prior to the mailing date of any of a final action under 37 CFR §1.113, or a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application, and is accompanied by either the fee set forth in 37 CFR §1.17(p) or a statement as specified in 37 CFR §1.97(e), as checked below.
- ☐ **37 CFR §1.97(d)** after the period specified in CFR §1.97(c), and is accompanied by the fee set forth in 37 CFR §1.17(p) and a statement as specified in 37 CFR §1.97(e), as checked below.

#171818

A list of the patent(s) and/or publication(s) is set forth on the attached revised Form PTO-1449 (Modified).

A copy of the items on PTO-1449 is supplied herewith.

Those patent(s) or publication(s) which are marked with an asterisk (\*) in the attached PTO-1449 form are not supplied because a) ☒ they were previously cited by or submitted to the Office in a prior application Serial No. 09/924,647 filed 08/07/2001 (ABN) which is a CIP of Serial No. 09/767,609 filed 01/22/2001 (ABN) which is a CIP of Serial No. 09/522,342 filed 03/09/200 (ABN) which is a CIP of Serial No. 09/284,663 filed 04/15/1999 (ABN) which is a CON of Serial No. 09/158,342 filed 09/21/98 (ABN) which is a CON of PCT/US98/25190 filed 11/25/98 which claims benefit of Serial No. 60/066,840 filed 11/25/97 and relied upon in this application for an earlier filing date under 35 USC §120 and/ or because b) ☐ this application was filed after June 30, 2003. Applicants are no longer required to submit copies of U.S. patents and U.S. patent application publications cited in information disclosure statements for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC §371 after June 30, 2003 (1276 OG 55). Nevertheless, applicants stand ready to provide copies at the request of the Examiner.

☐ BLAST results enclosed:

The undersigned also wishes to bring to the attention of the Examiner BLAST results of computerized alignments of the against sequences contained in the nucleotide and protein databases. The BLAST results are provided in paper form and are identified as reference "BLAST Results A-1- A-()" (nucleotide) and "BLAST Results B-1 - B-()" (protein) on the PTO Form 1449. Applicant requests that these references also be considered and that the Form 1449 be initialed to indicate the Examiner's consideration of the references.

A concise explanation of relevance of the items listed on PTO-1449 is:

- ☒ not given
- ☐ given for each listed item
- ☐ given for only non-English language listed item(s) [Required]
- ☐ in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references.

In accordance with 37 CFR §1.97(g), the filing of this information disclosure statement shall not be construed as a representation that a search has been made.

In accordance with 37 CFR §1.97(h), the filing of this information disclosure statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b).

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 for this Information Disclosure Statement, or credit overpayment to Deposit Account No. 07-0630. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

GENENTECH, INC.

Date: 1/24/05

By: Cara M. Coburn  
Cara M. Coburn  
Reg. No. 46,631  
Telephone No. (650) 467-6222

FORM PTO-1449

U.S. Dept. of Commerce  
Patent and Trademark Office

Atty Docket No.

P1219P3C1

Serial No.

To Be Assigned

### LIST OF DISCLOSURES CITED BY APPLICANT

(Use several sheets if necessary)

Applicant

Sean Adams et al.

Filing Date

14 Nov 2003

Group	Mean	SD	95% CI
Control	1.0	0.0	1.0
Low	1.0	0.0	1.0
High	1.0	0.0	1.0

Not Known

**FOREIGN PATENT DOCUMENTS**

Examiner Initials		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	83	00/15666		WO				
	84	00/15796		WO				
	85	01/04311 A1		WO				
	86	01/05836 A1		WO				
	87	99/14327		WO				
	88	99/14328		WO				
	89	99/27100		WO				

**OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages, etc.)**

[illegible]

Examiner

Date Considered

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.